

Behavioral Support Root Cause Analysis Workbook

METROPOLITAN CENTER FOR URBAN EDUCATION



Table of Contents

Introduction to Workbook	3
What are behavioral outcomes?	3
An Initial Look at Your School's Data	4
Looking at Discipline Data	
Count of Students Receiving a Disciplinary Referral	
Count of Disciplinary Referrals	
Referrals that result in suspension	
Reason for Referral	
Recidivism	
Quality Indicator Review	vide 14
Key Question: Do classroom behavioral support systems relate directly to the sebavioral support system? Do non-classroom behavioral support systems related to the school-wide behavioral system?	ite directly
Key Question: Do all students feel accepted and valued in the class? Key Question: Is instruction thoughtfully designed and delivered to be relevant appropriately challenging and engaging for all students?	,
Key Question: Are classroom activities managed in a proactive, positive and pre	edictable
manner so that instructional time is maximized?	
Interventions List Conclusion	
CUIICIUSIUII	

Introduction to Metropolitan Center for Urban Education

The Metropolitan Center for Urban Education (Metro Center), founded in 1978, is housed in New York University's Steinhardt School of Culture, Education, and Human Development. The Metro Center addresses educational problems that are national in scope but are brought into sharp focus in large urban areas. Its mission is to promote an understanding of, and provide services and assistance, to under-served populations (and the educational, governmental and community agencies that serve them) in order to ensure equity and promote excellence in the educational experiences of children and youth.

Why a Behavioral Support Workbook?

Research over the past three decades has identified a variety of educational equity issues such as discipline. Specifically, discipline along with other outcomes (e.g., attendance, credit accumulation) appears to operate as predictors of high school graduation and involvement with juvenile justice systems. Thus Metro Center has developed this workbook as a means to assist school districts to conduct a root cause analysis of their discipline outcomes in relation to behavioral support systems. The core analysis framework is to examine disproportionate representation. In other words, the over-representation of a specific group in behavioral areas relative to the presence of this group in the overall student population.

An Initial Look at Your District/School's Data

This initial look at your school's discipline data is meant to provide both framework to examining at equity in disciplinary outcomes and help your team pose questions about your school's disciplinary practices.

Using your school's data, complete the following tables and answer the reflection questions.

Looking at Discipline Data

Discipline data is often times difficult to interpret because it can be tabulated in two different ways: by student and by referral. Student data counts each student for whom there is a discipline record regardless of how many times the student has been referred for disciplinary action. Referral data counts the total number of infractions, but does not take into account that certain students are counted multiple times. As such, these two different perspectives provide different information.

Moreover, depending on information collected, discipline data can be analyzed in a variety of ways.

The following is a basic framework for analyzing your school's discipline data. It looks at the following four areas:

1) the demographics of those students involved in your school's discipline process, 2) the types of discipline infractions, 3) the outcomes of those infractions, and 4) the effectiveness of suspensions.

Using your school's data, complete the following tables and answer the reflection questions.

Count of Students Receiving a Disciplinary Referral

• How many students are referred for disciplinary action by race/ethnicity (count students)?

	American Indian or Alaskan Native	Asian or Pacific Islander	Black or African American	Hispanic or Latino	White (not of Hispanic Origin)	Multiracial	Total
Number of students referred for disciplinary action							

What is the composition of students referred for disciplinary action by race/ethnicity (count students)?

	American Indian or Alaskan Native	Asian or Pacific Islander	Black or African American	Hispanic or Latino	White (not of Hispanic Origin)	Multiracial	Total
Composition of students referred for disciplinary action							100%

How many students referred for disciplinary action by gender (count students)?

	Male	Female	Total
Number of			
students			

• What is the composition of students referred for disciplinary action by gender (percent of students)?

	Male	Female	Total
Composition of			
students			100%
(percentage)			

How many students referred for disciplinary action by English language learner (ELL) status (count students)?

·	ELL	Non-ELL	Total
Number of			
students			

• What is the composition of students referred for disciplinary action by English language learner (ELL) status (percent of students)?

010.10.0 (p 0.00 t			
	ELL	Non-ELL	Total
Composition of students			100%
(percentage)			

How does this compare to your school's overall demographic composition?	

Count of Disciplinary Referrals

How many disciplinary referrals were written by race/ethnicity?

	American Indian or Alaskan Native	Asian or Pacific Islander	Black or African American	Hispanic or Latino	White (not of Hispanic Origin)	Multiracial	Total
Number of disciplinary referrals							

• What is the composition of disciplinary referrals (count referrals)?

	American Indian or Alaskan Native	Asian or Pacific Islander	Black or African American	Hispanic or Latino	White (not of Hispanic Origin)	Multiracial	Total
Composition of disciplinary referrals							100%

How many disciplinary referrals were written by gender?

	Male	Female	Total
Number of			
disciplinary			
referrals			

• What is the composition of disciplinary referrals by gender (count referrals)?

	Male	Female	Total
Composition of			
disciplinary			100%
referrals			

How many disciplinary referrals were written by ELL status?

	Male	Female	Total
Number of			
disciplinary			
referrals			

■ What is the composition of disciplinary referrals by ELL status (count referrals)?

what is the comp	What is the composition of disciplinary referrals by ELE sta						
	Male	Female	Total				
Composition of disciplinary referrals			100%				

Reflection

How do composition of disciplinary referrals compare to overall student composition ?				

Referrals that Result in Suspension

In an effective discipline system has multiple possible outcomes with suspensions being one of the most severe. Therefore it is important to focus specifically on referrals that result in suspensions.

• How many disciplinary referrals were written that resulted in a suspension by race/ethnicity?

How many also plants y referrals were written that resulted in a suspension by race, estimately.							
	American Indian or Alaskan Native	Asian or Pacific Islander	Black or African American	Hispanic or Latino	White (not of Hispanic Origin)	Multiracial	Total
Number of disciplinary referrals							

What is the percentage of referrals that resulted in a suspension?

·	American Indian or Alaskan Native	Asian or Pacific Islander	Black or African American	Hispanic or Latino	White (not of Hispanic Origin)	Multiracial	Total
Percentage of referrals that resulted in a suspension							

• What is the composition of disciplinary referrals that resulted in a suspension (count referrals)?

	American Indian or Alaskan Native	Asian or Pacific Islander	Black or African American	Hispanic or Latino	White (not of Hispanic Origin)	Multi- Racial (Not of Hispanic Origin)	Total
Composition of disciplinary referrals							100%

How many disciplinary referrals were written that resulted in a suspension by gender?

	Male	Female	Total
Number of			
disciplinary			
referrals			

What is the percentage of referrals that resulted in a suspension?

Title is the personage of referrals that resulted in a suspense						
	Male	Female	Total			
Percentage of						
referrals that						
resulted in a						
suspension						

• What is the composition of disciplinary referrals that resulted in a suspension (count referrals)?

	Male	Female	Total
Composition of			
disciplinary			100%
referrals			

Reflection

•	How do these compositions compare to their representation of students the school?				

Reason for Referral

What were the five most cited reasons for disciplinary referrals?

	American Indian or Alaskan Native	Asian or Pacific Islander	Black or African American	Hispanic or Latino	White (not of Hispanic Origin)	Multi-Racial (Not of Hispanic Origin)	All students
Most							
common							
2 nd most							
common							
3 rd most							
common							
4 th most							
common							
5 th most							
common							

What were the three most common reasons for disciplinary referrals that were most likely to result in a suspension?

Most common	2 nd most common	3 rd most common

What were the five most cited reasons for disciplinary referrals by gender and ELL Status?

	Male	Female	ELL	Non-ELL	All students
Most					
common					
2 nd most					
common					
3 rd most					
common					
4 th most					
common					
5 th most					
common					

What were the three most common reasons for disciplinary referrals that were most likely to result in a suspension?

Most common	2 nd most common	3 rd most common

Reflection Are different groups of students referred for different reasons? If so, why do you think that is? What is the relationship between the most common reasons for referrals and the referrals most likely to result in suspensions? What does this tell you about your school's discipline policies and practices?

Recidivism

What is the percentage of student who are suspended receive more multiple suspensions?

	American Indian or Alaskan Native	Asian or Pacific Islander	Black or African American	Hispanic or Latino	White (not of Hispanic Origin)	Multiracial	Total
Percentage of							
student who are							
suspended							
receive more than							
ONE suspension							
Percentage of							
student who are							
suspended							
receive more than							
THREE suspension							
Percentage of							
student who are							
suspended							
receive more than							
FIVE suspension							

What is the percentage of student who are suspended receive more multiple suspensions?

Timat is the persent	. 0				
	Male	Female	ELL Status	Non-ELL	Total
Percentage of					
student who are					
suspended					
receive more than					
ONE suspension					
Percentage of					
student who are					
suspended					
receive more than					
THREE suspension					
Percentage of					
student who are					
suspended					
receive more than					
FIVE suspension					

Reflection

What does this tell us about the effectiveness and distribution of suspensions?		

Quality Indicator Review

The Quality Indicator Review in this book is adapted from the New York State Education Department's QUALITY INDICATOR REVIEW AND RESOURCE GUIDES for BEHAVIORAL SUPPORTS AND INTERVENTIONS. This sections below focus on school-wide discipline systems, behavior management in the classroom, relationship with and among students, instruction, and classroom management. Each of these sections is framed by a key question followed by several quality indicators related to those questions. Additionally, each quality indicator contains several "Description/Look Fors" that frame the specific elements of the quality indicator as well as suggestions for where to collect evidence related to each "Description/Look Fors."

This quality review is designed to help

- Assess the quality of a school instructional programs and practices in the areas of literacy, behavioral supports and interventions; and delivery of special education services
- Determine priority need areas; and
- Prescribe and plan activities to change practices and improve outcomes for students

While engaging instruction is the most effective "behavioral intervention," every school also needs an effective discipline system.

Key Question: Does your school have a consistent and comprehensive school-wide behavioral program in place?

Indicator: School-Wide Discipline System			
Quality Indicator	Description/Look Fors:	Comments/Evidence	
Instruction in Behavior			
All students are taught behavioral expectations.	 Lesson plans for expectations are developed. School-wide behavioral expectations have 	Lesson plans, schedule for teaching, School-wide Evaluation Tool (SET) results/ Survey	
	been taught directly & formally to all students.		
	Students and staff know expectations.		
	Lessons are re-taught as needed based on analysis of data.		
	 Acknowledgements are appropriate for the culture of the community served by the school. 		
	A system for collecting data on acknowledgements is in place.		
Consistent Behavioral Cor	· · · · · · · · · · · · · · · · · · ·	l	
Consistent consequences are	Consequences for behavioral infractions are clearly defined and documented.	Teacher handbook, student handbook, interview/survey, school reports,	
applied for inappropriate behavior across staff and settings.	Consequences are appropriate for the entire community and applied proportionately.	lesson plans, discipline data	
	There is clarity about behavior handled in the classroom and behavior handled by administration.		
	All staff and students know consequences.		
	System for collecting data on infractions is in place.		
	Frequently broken rules are re-taught.		

Data Collection and Analy	rsis	
Data on student	Office discipline referral form collects all	Discipline referral form, school data
behavior are collected	necessary information.	reports, monthly data reports, team
and used to inform and	Office discipline data are gathered	minutes, action plans
improve the behavioral	routinely and entered into database.	
system.	Team summarizes existing discipline data	
	monthly and reports to staff, including	
	suspension data.	
	Strengths and areas of focus are identified	
	monthly based on data.	
	Discipline data are used to make decisions	
	and action plans.	
	Staff, family and student perception data	
	are also reviewed on an on-going basis.	
On-going staff developme	ent	
All staff understand and	School personnel are trained in school-	Training enrollment records, coach
implement the school-	wide plan.	report, team action plan, faculty
wide discipline system	New personnel are oriented to school-	meeting minutes, staff surveys,
with fidelity.	wide plan.	teacher acknowledgement menu,
	Technical assistance is provided to	training materials
	teachers about components of plan.	
	Re-training provided as needed to staff on	
	plan components.	
	Reports are made regularly to staff on	
	student data.	
	Staff are regularly acknowledged for their	
	implementation of the plan.	
	Professional development includes	
	training on selecting expectations and	
	acknowledgements that are appropriate	
	for the entire school community.	

Key Question: Do classroom behavioral support systems relate directly to the school-wide behavioral support system? Do non-classroom behavioral support systems relate directly to the school-wide behavioral system?

Indicator: Behavior Management				
Quality Indicator	Description/Look Fors:	Comments/Evidence		
Classroom Management				
Effective classroom management strategies are used in all	 Classroom behavioral expectations are aligned with school-wide behavioral expectations. 	Posters of class rules, teacher/student interview, lesson plans, student interviews, office discipline referral		
classrooms.	 Classroom behavioral expectations are explicitly taught, practiced and re-taught when needed in all classrooms. 	forms, observations		
	Consequences for problem behavior in the classroom are consistent with school- wide plan.			
	 Students in every classroom receive a greater number of positive than negative acknowledgements. 			
	 Students in every classroom are engaged in meaningful academic work. 			
	 As teachers develop their routines, they are respectful of the impact their routines have on other classrooms. 			
Non-Classroom Behaviora	Supports			
Effective management strategies are used in non-classroom settings.	Behavioral expectations for hallways, playgrounds, buses, cafeteria, bathrooms & other non-classroom settings are aligned with school-wide behavioral expectations.	Posters in each setting, lesson plans, office discipline referral forms, student/staff interviews, training schedule, sign-ins, staff meeting minutes		
	 Behavioral expectations for each setting have been explicitly taught, practiced and re-taught when needed. 			
	Consequences for problem behavior in these settings are consistent with school- wide plan.			
	 Students receive a greater number of positive than negative acknowledgements in these settings. 			
	 Paraprofessionals and other staff responsible for these settings have been trained in the school-wide plan. 			
	 Paraprofessionals and other staff responsible for these settings receive data on plan implementation. 			

Definition: Classroom management is all the actions teachers take to create an environment that supports academic and social-emotional learning. It includes all of the teacher's practices related to establishing the physical and social environment of the classroom, regulating routines and daily activities, and preventing and correcting behavior.

Key Question: Do all students feel accepted and valued in the class?

	prtive Relationship with and among Students	Comments/Fuidance
Quality Indicator	Description/Look Fors:	Comments/Evidence
Component 1: Positive Cla		
The teacher has created a positive, warm and	The teacher respects students and conveys personal acceptance of each student.	Observation, lesson plans, student and teacher interviews,
accepting environment	The teacher greets students by name.	activity schedules
for all students in the	The teacher is aware of personal goals, interests	
class.	and activities of students and builds classroom	
	connections.	
	The teacher engages class in specific classroom- community and trust-building activities (e.g.	
	shared chores).	
	The teacher holds high expectations of students that are clearly articulated.	
	Students are engaged in specific activities to build positive peer relationships, like peer tutoring, social groups, and after-school	
	activities.	
	Students are engaged in specific activities to	
	build positive student-staff relationships,	
	including mentoring and tutoring.	
	The teacher quickly addresses potential	
	problems in the classroom.	
	Responsive Classroom Practices	l a
All students feel valued	Instructional strategies reflect and respond to	Observation, lesson plans,
and accepted by adults and peers in the	cultural values, experience and learning styles of students in the class.	classroom newsletters, communication logs, student
classroom.		and teacher interview
Classicolii.	 Opportunities for community engagement are a priority for school and teachers. 	una teacher interview
	Teacher response to student behavior reflects	
	an awareness of cultural differences in verbal	
	and non-verbal cues.	
	Teacher understands the cultural components	
	of the "conflict-cycle" and intentionally avoids	
	escalating interactions	
	Classroom establishes a system for regular	
	home-school communication.	
	Students engage in self-study and learn about	
	the norms and values of their culture.	

Key Question: Is instruction thoughtfully designed and delivered to be relevant, appropriately challenging and engaging for all students?

Indicator: Instruction Desi	Indicator: Instruction Designed to Optimize Learning and Engagement			
Quality Indicator	Description/Look Fors:	Comments/Evidence		
Instruction is Planned				
Lessons are carefully planned to accommodate the needs of all students.	 The teacher has spent time preparing the lesson. The teacher has adjusted both content and strategies to students' developmental levels. Complex tasks are broken into small steps. Regular times are scheduled into the day to provide feedback on independent work. 	Lesson plans, alternative materials, class schedule		
Landan dia in Chambra	Activities have clear beginnings, ends and efficient transitions.			
Instruction is Structured a Lessons are carefully	The teacher clearly communicates directions	Observation, student work		
structured and students are aware of lesson objectives and structure.	 and objectives so that students have a clear plan of action; e.g., teacher uses an advance organizer. Instruction follows a sequential order that is logically related to skill development. 	Observation, stadent work		
	The teacher's instructional presentation includes explanation and modeling, followed by coaching, guided and independent practice and timely feedback.			
	 The teacher provides guided practice with error-correction and re-teaching until students attain 80% mastery. The teacher monitors independent practice at 			
	90-100% mastery.			
Learning is Actively Moni	Г			
Students and teachers are continually assessing effectiveness of lessons.	 Students are held accountable for completing work on time. Students are held accountable for 	Student work, grade books, progress monitoring data		
	 performance; teacher provides feedback on all assignments. The teacher grades student work regularly and frequently. 			
	• The teacher systematically collects, graphs, and reviews student data.			

Instruction is Interactive a	and Engaging	
Teachers use multiple and varied techniques to engage students in the material in meaningful ways.	 Instruction includes research-based strategies to engage students; e.g., high rates of opportunities to respond, choral reading, direct instruction, computer-aided instruction, class- wide peer tutoring, and guided notes. 	Lesson plans, observation
	The teacher employs a variety of grouping options including whole group, small and cooperative learning groups, and individual instruction.	
	The teacher uses strategies to ensure high frequency learning trials and response opportunities; e.g. choral responding, individual response card, and peer tutoring.	
	 Instructional materials are used that students find educationally relevant. The teacher gives both written and oral 	
	feedback that is specific, provides information about accuracy, and recommends alternatives; feedback is timely.	
	 The teacher uses variations in voice, movement and pacing to reinforce attention. The teacher explicitly points out the connection 	
Instruction is Direct and E	between effort and outcome.	
Instruction is Direct and E Students learn to	The teacher provides instruction in cognitive	Lesson plans, observation
independently use strategies that will help them to successfully	strategies; e.g., taking notes or asking questions, as well as meta-cognitive strategies; e.g., planning, monitoring, and evaluation.	
acquire and retrieve learned materials.	The teacher provides direct instruction in strategies to assist students to acquire new information and learn skills; e.g., activating prior knowledge or think-alouds.	
	The teacher provides direct instruction in strategies to store and retrieve information by pairing new information to existing knowledge using a visual device; e.g., mnemonics or separate mans.	
	 concept maps. The teacher provides direct instruction in test-taking strategies which focuses attention on critical aspects of test items, question answering, etc. 	

Key Question: Are classroom activities managed in a proactive, positive and predictable manner so that instructional time is maximized?

Indicator: Classroom Mai	naged to Support Student Engagement	
Quality Indicator	Description/Look Fors:	Comments/Evidence
Behavior Expectations Est	ablished and Taught	
There is a system in place to establish and teach clear rules, procedures and consequences.	 Classroom behavioral expectations are consistent with school-wide expectations. A small number (3-5) of classroom behavioral expectations are defined. When possible, students and classroom staff are partners in the development of the behavioral expectations. Behavioral expectations are positively stated and easily understood. Behavior expectations are directly and systematically taught. Behavioral expectations are reviewed frequently and posted about the room. The teacher provides pre-correction and prompting for behavior expectations as well as ongoing feedback. 	Lesson plans, posters, classroom rules or behavioral matrices, observation, student interviews, classroom list of acknowledgements and consequences
Behavior is Monitored.	Students know the acknowledgements for appropriate behavior, as well as the consequences for inappropriate behavior.	-
The teacher actively supervises the classroom, including watching for behaviors to acknowledge and behaviors to correct.	 The teacher engages all children and calls students by name. The teacher knows what the students are doing and what is going on in the classroom. The teacher moves around the classroom at regular intervals. Teacher is able to anticipate and prepare for potential behavioral issues. The teacher actively supervises and monitors by scanning/looking around, interacting frequently with students, correcting errors and providing acknowledgements for behavior consistent with expectations. Behavioral interruptions are dealt with quickly with little or no interruption to the learning process. 	Observation

Planned Responses to App	propriate Behavior	
Students are acknowledged for demonstrating expected behaviors.	 The teacher provides specific praise for specific academic and social behaviors linked to classroom expectations. Acknowledgement for appropriate behavior occurs four times as frequently as acknowledgement for inappropriate behavior. The teacher uses a wide repertoire of acknowledgements (e.g., tangible, verbal, social, activities) that are valued by the students. Acknowledgements are delivered to individuals, small groups and/or the whole class. Acknowledgements are attainable by students at all levels. Students can verbalize their successes. 	Acknowledgement menu, acknowledgement data, student interviews
Planned Responses to Ina	ppropriate Behavior	
Students clearly see the connection between the inappropriate behavior and its consequences.	 Consequences have clear connections to student behavior. Consequences are always paired with reteaching of appropriate behavior. Consequences are commensurate with the inappropriate behavior. Consequences are delivered promptly, consistently and equitably. Punishment occurs only in the context of a strong program of teaching behavior and providing positive consequences for appropriate behavior. Punishment is administered matter-of-factly without anger, threats or moralizing. 	Office discipline referrals, behavioral data, observation, student interviews
Transitions are Managed	, ,	,
Classroom transition time results in very few discipline issues, and students move to and from their activities successfully.	 Transition time is kept to a minimum and results in minimal loss of instructional time. The teacher provides instruction and practice in transition procedures at the beginning of the year. The teacher use cues to signal upcoming transitions. Transition procedures are reviewed and retaught as needed throughout the year. 	Observation, lesson plans

Interventions List

What are the types of behavioral interventions that are provided at a building and classroom level? Considerations for behavioral interventions: 1) Teaching & reinforcing context-appropriate social behaviors or skills; 2) Removing antecedent factors that trigger occurrences of problem behavior; 3) Adding antecedent factors that trigger occurrences of context appropriate social skills; 4) Removing consequence factors that maintain (function) occurrences of problem behaviors; 5) Adding consequence factors that maintain occurrences of context appropriate social behaviors.

Intervention level	Available Building and Classroom interventions
Primary	
School/Classroom wide systems for all	
students, staff and settings	
Secondary	
Specialized group for students who are	
demonstrating at-risk behavior	
Tertiary	
Specialized group for student who are	
demonstrating high-risk behavior	
	<u> </u>

¹ Adapted from <u>www.pbis.org</u>

Effectiveness of Implementation and Result

Primary School/Classroom wide systems for all students, staff and settings Secondary Specialized group for students who are demonstrating at-risk behavior	Intervention level	What are the most effective interventions and why?
Secondary Specialized group for students who are	Primary	
Secondary Specialized group for students who are		
Specialized group for students who are	students, staff and settings	
Specialized group for students who are		
Specialized group for students who are		
Specialized group for students who are		
Specialized group for students who are		
Specialized group for students who are		
Specialized group for students who are		
Specialized group for students who are		
Specialized group for students who are		
Specialized group for students who are demonstrating at-risk behavior	Secondary	
demonstrating at-risk behavior	Specialized group for students who are	
	demonstrating at-risk behavior	
	T 0	
Tertiary		
Specialized group for students who are	demonstrating high risk behavior	
demonstrating high-risk behavior	demonstrating high-hisk behavior	

Looking at Policy Documents

School Name:	Date:
Examining at your school	I's policy documents answer the following questions:
Remember to be aware	of your perspective and record all perspective on the team
Code of Conduct	
What are some things	
that you like about this	
the code of conduct?	
What are some things	
What are some things that you don't like about	
this code of conduct?	
this code of conduct.	

What is the purpose of	
the code of conduct?	
How does your school	
use the code of conduct	
– how is it used by	
teachers and how is it	
used by school	
administrators?	
How was it created?	
How is it distributed?	
How does your school	
ensure that all staff	
members have the same	
understanding of the	
code of conduct?	
educ or corruder.	
How does your school	
ensure that all students	
have the same	
understanding of the	
code of conduct?	
Is there any ambiguous	
language or language	
that is open to	
interpretation in the	
code of conduct?	
	1

Looking at Policy Documents

Examining at your school's policy documents answer the following questions: Remember to be aware of your perspective and record all perspective on the team

School discipline/referra	al forms
What are some things	
that you like about this	
form?	
What are some things	
that you don't like about	
this form?	

What is the purpose of this form?	
How does your school use this form – how is it	
used by teachers and	
how is it used by school	
administrators?	
When is this form used?	
What are the possible	
outcomes when this form is used?	
ionin is useu:	

Conclusion

Based on your analysis of your student data and quality review, what are your school's strengths and what are your school's weaknesses? How does your data support these conclusions
,
What additional things do you think should be considered when looking at this data?